

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/751,836	12/29/2000	Philipp Heinz Schmid	M61.12-0323

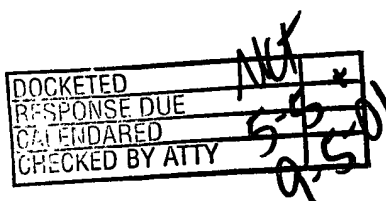
CONFIRMATION NO. 9264

## FORMALITIES LETTER



\*OC000000005825521\*

Joseph R. Kelly  
WESTMAN CHAMPLIN & KELLY  
International Centre Suite 1600  
900 South Second Avenue  
Minneapolis, MN 55402-3319



Date Mailed: 03/05/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$772.
 

01 FC:101	710.00 OP
02 FC:105	130.00 OP
03 FC:103	612.00 OP
04 FC:102	160.00 OP
- \$612 for 34 total claims over 20.
- \$160 for 2 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1612.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

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*A copy of this notice MUST be returned with the reply.*

A handwritten signature in black ink, appearing to read "V. Hand", is positioned above a horizontal line.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY



T  
\$ SECTOR  
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named  
Inventor : Philipp H. Schmid et al.

Appln. No.: 09/751,836

Filed : December 29, 2000

For : MIDDLEWARE LAYER BETWEEN SPEECH  
RELATED APPLICATIONS AND  
ENGINES

Group Art Unit:2641

Docket No.: M61.12-0323

**RESPONSE TO NOTICE TO FILE MISSING PARTS  
OF APPLICATION - FILING DATE GRANTED  
UNDER 37 C.F.R. §§ 1.53(f) AND 1.16(e)**

**Box Missing Parts**  
Commissioner for Patents  
Washington, D.C. 20231

I HEREBY CERTIFY THAT THIS PAPER IS BEING  
SENT BY U.S. MAIL, FIRST CLASS, TO THE  
ASSISTANT COMMISSIONER FOR PATENTS,  
WASHINGTON, D.C. 20231, THIS

4th DAY OF May 2001

*Joseph R. K...*  
PATENT ATTORNEY

Sir:

In response to the Notice to File Missing Parts of  
Application - Filing Date Granted dated March 5, 2001, Applicant  
encloses the following documents to complete the above-identified  
patent application filed December 29, 2000:

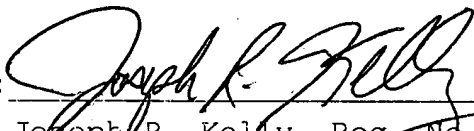
1. Our checks in the amount of \$1612.00 and \$40.00 (to  
cover the filing fee \$1482 and surcharge fee of \$130  
and Recordation Fee of \$40.00).
2. Combined Declaration and Power of Attorney executed by  
the inventor(s).
3. Fee Calculation Sheet (duplicate).
4. Notice to File Missing Parts of Application-Filing Date  
Granted.
5. Assignment Recordation Cover Sheet and Fee

6. Executed Assignment
7. 14 Sheets of formal drawings
8. Letter to Official Draftsperson

The Director is authorized to charge payment of any additional fees associated with this paper or credit any overpayment to Deposit Account No. 23-1123. A duplicate copy of this sheet is enclosed.

Respectfully submitted,  
WESTMAN, CHAMPLIN & KELLY, P.A.

By: \_\_\_\_\_

  
Joseph R. Kelly, Reg. No. 34,847  
Suite 1600 - International Centre  
900 Second Avenue South  
Minneapolis, Minnesota 55402-3319  
Phone: (612) 334-3222 Fax: (612) 334-3312

JRK:slg

# FEE TRANSMITTAL



Complete if Known

Application No.	09/751,836
Filing Date	December 29, 2000
First Named Inventor	Philipp H. Schmid et al.
Title	MIDDLEWARE LAYER BETWEEN SPEECH RELATED APPLICATIONS AND ENGINES
Group Art Unit	2641
Examiner Name	
Atty. Docket Number	M61.12-0323

Total Amount of Payment \$ 1652

## METHOD OF PAYMENT (Check One)

1. ☒ The Director is hereby authorized to charge any additional fee required under 37 C.F.R. § 1.16 and 1.17, including any petition fee, and credit any over payments to Deposit Account No. 23-1123.  
Westman, Champlin & Kelly, P.A.

2. ☒ Check Enclosed

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Fee	Small Entity Fee	Code	Fee Description
101	201	710 355	<input checked="" type="checkbox"/> Utility Filing Fee
106	206	320 160	<input type="checkbox"/> Design Filing Fee
108	208	710 355	<input type="checkbox"/> Reissue Filing Fee
114	214	150 75	<input type="checkbox"/> Prov. Filing Fee

Subtotal (1) \$ 710

### 2. EXTRA CLAIM FEES

	Number Claims	Prior**	Extra	Fee from Below	Fee Paid
Total	54	20	34	18	612
Indep.	5	3	2	80	160

Multiple Dependent Claims

\*\* Insert 3 and 20, or number previously paid if greater; Reissue see below

Large Entity Fee	Small Entity Fee	Code	Description
103	203	18 9	Claims in excess of 20
102	202	80 40	Independent claims in excess of 3
104	204	270 135	Multiple Dependent Claims
109	209	80 40	Reissue Independent Claims over Original Patent
110	210	18 9	Reissue claims in excess of 20 and over original patent

Subtotal (2) \$ 772

## FEE CALCULATION (Continued)

### 3. ADDITIONAL FEES

Large Entity Fee	Small Entity Fee	Fee Description	Fee Paid
105	205	130 65 Surcharge - Late filing fee or oath	130
127	227	50 25 Surcharge - Late provisional Filing Fee or cover sheet	
139	239	130 130 Non-English specification	
147	247	2,520 2,520 For Filing a Request for Reexamination	
115	215	110 55 Extension for reply within first month	
116	216	390 195 Extension for reply within second month	
117	217	890 445 Extension for reply within third month	
118	218	1,390 695 Extension for reply within fourth month	
128	280	1,890 945 Extension for reply within fifth month	
120	220	310 155 Filing a brief in support of an appeal	
121	221	270 135 Request for oral hearing	
148	248	110 55 Terminal Disclaimer Fee	
140	240	110 55 Petition to Revive - unavoidable	
141	241	1,240 620 Petition to Revive - unintentional	
142	242	1,270 650 Utility/Reissue issue fee (inc. advance copies)	
143	243	470 250 Design issue fee (inc. advance copies)	
122	222	130 130 Petitions to the Commissioner	
123	223	50 50 Petitions related to provisional applications	
126	226	180 180 Submission of Information Disclosure Statement	
581	581	40 40 Recording each patent assignment per property (times number of properties)	40

Other Fee (specify) \_\_\_\_\_

Subtotal (3) \$170

Signature

(Joseph R. Kelly)

Reg. No. 34.847

Date 5-4-1

Deposit Account No. 23-1123